

Lesson Plan

B. A IIIrd Semester 2024-2025

Fundamentals of Indian Capital Markets B23-COM-305

Course Type:MDC-3

Contents of the Course

Unit	Topics	Contact Hours
I.	Capital market: Need and structure; Types of capital market: Primary and secondary market; Functions of primary and secondary market; Financial instruments in Indian capital market	12
II.	Regulation of Indian capital market: The Securities Exchange Board of India- Constitution, role of SEBI in regulating primary and secondary market, Investor protection and grievance	11
III.	Stock Exchanges in India: Origin, role and functions; Listing of Securities: Concept, merits & demerits, listing requirements, procedures	11
IV.	Depository System in India: Role, function, dematerialisation of securities: Recent trends in Indian capital market	11

Course Learning Outcomes (CLO)

After completing this course, the learner will be able to:

1. Understand the basics of Indian capital market.
2. Understand the stock market regulator and provisions for investors protection.
3. Get acquainted with the functioning of stock exchanges of India.
4. Understand the depository system of Indian capital market.

	Theory	Tutorial	Total
Credits	2	1	3
Internal Assessment Marks	25	-	25
End Term Exam Marks	50	-	50
Exam Time	3 Hrs.	-	3 Hrs.

1. . The examiner will set 9 questions in all covering the course learning outcomes (CLOS). Question No. 1 will be compulsory and comprises of seven parts of 2 marks each. Question Nos. 2 to 9 will carry 9 marks each, having two questions from each unit.
2. Students required to attempt 5 questions in all, selecting one question from each unit and the compulsory question.

Recommended Books/E-Resources/LMS:

- Bhole L.M., Financial Markets and Institutions, Tata McGraw Hill, Delhi.
- Kanuk, Alan R., Capital Markets of India, Wiley Finance
- Khan M.Y., Indian Financial System, Tata McGraw Hill, Delhi.
- Machi Raju, H.R., Working of Stock Exchanges in India, Wiley Eastern Ltd., New Delhi.
- Pathak Bharti V., The Indian Financial System, Pearson Education.
- Raghunathan V., Rajib Prabina, Stock Exchanges. Investments and Derivatives, Tata McGraw Hill, New Delhi.

Tentative Lesson Schedule

Months	Topics	Contact Hours
July 2024	Capital market: Need and structure; Types of capital market: Primary and secondary market	03 Hours
August 2024	Functions of primary and secondary market; Financial instruments in Indian capital market Regulation of Indian capital market: The Securities Exchange Board of India- Constitution,	12 Hours
September 2024	Role of SEBI in regulating primary and secondary market, Investor protection and grievance	12 Hours
October 2024	Stock Exchanges in India: Origin, role and functions; Listing of Securities: Concept, merits & demerits, listing requirements procedures. Depository System in India: Role, function, dematerialisation of securities.	12 Hours
November 2024	Recent trends in Indian capital market	06 Hours

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